



**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10**

**APPLICANT:** Daum et al.

**TITLE:** THERAPY VIA TARGETED DELIVERY OF NANOSCALE PARTICLES

**SERIAL NO.** 10/696,399

**ATTORNEY REF:** 126381.1301

**DATE OF DEPOSIT:** January 16, 2004

I HEREBY CERTIFY THAT THIS INFORMATION DISCLOSURE STATEMENT AND THE REFERENCES CITED THEREIN IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE VIA FIRST CLASS MAIL UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO COMMISSIONER FOR PATENTS, MAIL STOP DD, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

Jennifer Martinez

(Typed or printed name of person mailing paper or fee)

**Documents Enclosed:**

1. Information Disclosure Statement with cited references;
2. Certificate of Mailing;
3. Postcard

Attorney Docket No.: 126381.1301



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :  
DAUM et al. :  
Serial No. 10/696,399 : Group Art Unit: Not yet assigned  
Filed: October 28, 2003 : Examiner: Not yet assigned  
For: THERAPY VIA TARGETED DELIVERY OF NANOSCALE  
PARTICLES

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. Copies are provided. It is respectfully requested that the documents be expressly considered and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

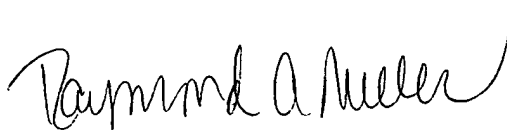
It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

AUTHORIZATION

No fee is required. The Commissioner is hereby authorized to charge any additional fees which may be required for this submission, or credit any overpayment to deposit account no. 50-0436.

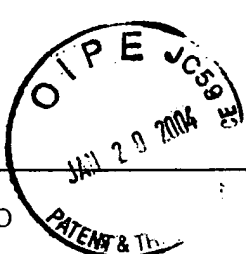

Respectfully submitted,

PEPPER HAMILTON LLP

A handwritten signature in black ink, appearing to read "Raymond A. Miller". The signature is fluid and cursive, with a long horizontal stroke at the end.

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>				
				Application Number		10/696,399		
				Filing Date		October 28, 2003		
				First Named Inventor		Daum et al.		
				Group Art Unit		Not yet assigned		
Examiner Name		Not yet assigned						
Sheet	1	of 2		Attorney Docket Number		126381.1301		
<b>U.S. PATENT DOCUMENTS</b>								
Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Number	Kind Code (if known)					
<b>FOREIGN PATENT DOCUMENTS</b>								
Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	BA	WO	03 043583		Law et al.	11-20-2002		
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
Examiner's Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or county where published.						T
	CA	✓ Boyd, R, "Radisotopes in Medicine" Nuclear Issues Briefing Paper 26; <a href="http://www.vic.com.au/nipz6">http://www.vic.com.au/nipz6</a>						
	CB	✓ Marx, J, "A Boost of Tumor Starvation", Science, 25 July 2003, Vol 301, 452-454						
	CC	✓ Kling, J, "Ceramic-Based Nanoparticles: Drug Carriers for Photodynamic Therapy", <a href="http://www.chemistry.org">www.chemistry.org</a> , 10/24/2003						
	CD	✓ Keating, M. J., "Management Strategies for Chronic Lymphocytic Leukemia", <a href="http://www.medscape.com">www.medscape.com</a> , June 10, 2003						
	CE	✓ Hawkins, F, "Vasopermeation Enhancement Agent Technology Highlighted", <a href="http://www.peregrineinc.com">www.peregrineinc.com</a> , 10/22/2003						
	CF	✓ Moskowitz B, "Magnetotactic Bacteria", Rev. Geophys., Vol 33, Suppl., 10/22/2003, <a href="http://www.agu.org/revgeophys/moskow01/node1.html">www.agu.org/revgeophys/moskow01/node1.html</a>						
	CG	✓ "Magnetococcus MS-1 Genome Project", 10/22/2003						
	CH	✓ Magnetospirillum Magnetotacticum", 10/22/2003, <a href="http://www.genome.jgi-psf.org/draft_microbes/magma.home.html">www.genome.jgi-psf.org/draft_microbes/magma.home.html</a>						
	CI	✓ Menez R, "Crystal Structure of a hydrophobic immunodominant antigenic site on hepatitis C virus core protein complexed monoclonal antibody", J. Immunol, Vol 170, 2003 Feb 15, 1917-19224						
	CJ	✓ Jayson G, "Molecular Imaging and Biological Evaluation of HuMV833 anti-VEGF antibody: Implications for Trial Design of Antiangiogenic Antibodies", J o t National Cancer Institute, Vol 94, No 19, 10/2/2002, 1484-1493						
	CK	✓ Dormann J, "Magnetic Relaxation in Fine-Particle Systems", Adv. I. Chem. Phys., Vol XCVIII, ISBN 0-471-26285-X, 1997, 283-494						
1.	CL	"The Internet Drug Database"						
2.	CM	Stetman's Electronic Medical Dictionary, Version 5, <a href="http://www.stetmans.com">www.stetmans.com</a>						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.